

Subsidy for Setting up ETP- Guidelines

Applicability

Registered KESDM companies will be eligible for subsidy grant for setting up of Effluent Treatment Plant (ETP). One time capital subsidy to the tune of up to 50% of the cost of ETP, subject to below mentioned limits, will be provided.

Category	Upper Limit (INR)
Startups and MSMEs	50 Lacs
Large and Mega Enterprises	1 Cr

Documents Required

- Duly filled application form for Subsidy for setting up of ETP
- Copy of KESDM Registration Certificate
- Copy of the Detailed Project Report
- Copy of the term loan sanctioned letter from financial institution (if any)
- Letter by a Financial Institution certifying the Investment on ETP / C.A. certificate for self-financed cases
- Chartered Engineers/Architects certificate
- Consent For Operation (CFO) issued by KSPCB and shall be valid as on date of application and sanction
- Environment Clearance Certificate (ECC) issued by DFEE/MOEF, wherever applicable
- Environment Management Plan

Application Process and Form

This subsidy is available only to ETP and not for Sewage Treatment Plant (STP). For the purpose of calculation of investment on ETPs, both air pollution control equipment and water pollution control equipment and its fixed assets are considered.

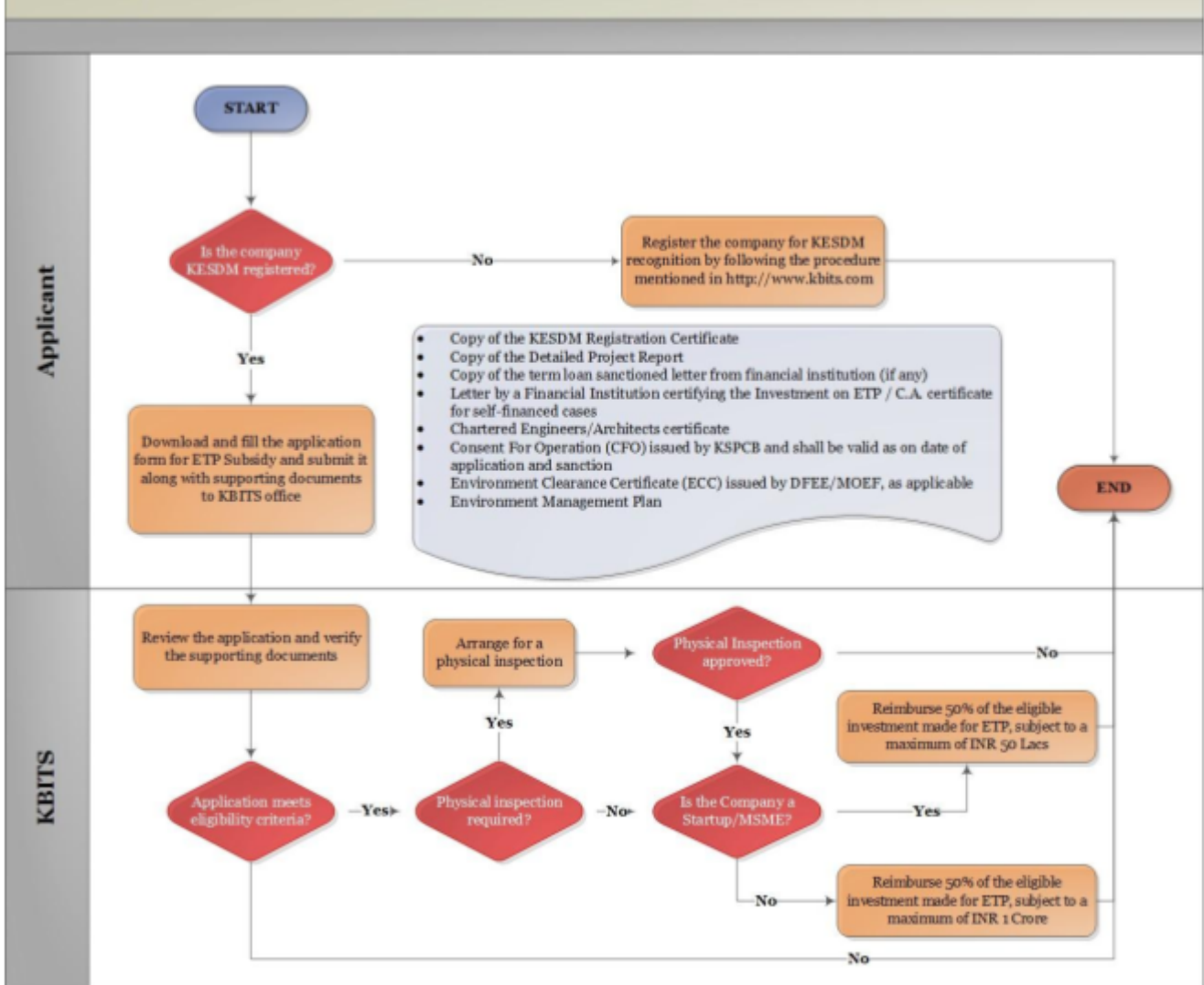
After receiving the application, the officer-in-charge will verify the submitted documents. Post satisfying the genuineness of the facts, an internal audit will be done to ascertain the claim and advise on the incentive amount. The application and advice will be then put up for approval to the approval committee, which will examine and approve / disapprove the claim.

Form 12 : Application form for Subsidy for setting up of ETP

KESDM Registration No.:			
Name of the Company:			
Products Manufactured from the Establishment:			
Project Cost Details		Main Enterprise	ETP
1	Land		
2	Building		

3	Plant and Machinery		
4	Others		
5	Working Capital		
Totals			
Date of Commercial Production: (as per the first sale Invoice)			
Valid consent for operation (CFO) issued by KSPCB:			
Environment Clearance Certificate (ECC) issued by DFEE / MOEF: (wherever applicable)			
Environment Management Plan (EMP):			
Actual Investment details (amount in INR)	Main Enterprise	ETP	
Land			
Building			
Plant & Machinery / Equipment		1	Water pollution equipment:
		2	Air pollution equipment:
		Totals	
Other fixed assets (please specify)			
Grand Total			
List of Documents to be Furnished:			
<ul style="list-style-type: none"> • Copy of KESDM Registration Certificate • Copy of the Detailed Project Report • Copy of the term loan sanctioned letter from financial institution (if any) • Letter by a Financial Institution certifying the Investment on ETP / C.A. certificate for self-financed cases • Chartered Engineers/Architects certificate • Consent For Operation (CFO) issued by KSPCB and shall be valid as on date of application and sanction • Environment Clearance Certificate (ECC) issued by DFEE/MOEF, wherever applicable • Environment Management Plan 			

ETP Subsidy Grant Process



Fixed Investment Certificate from Bank for Sanction of ETP Subsidy

FIXED INVESTMENT CERTIFICATE FROM BANK / FINANCIAL INSTITUTION FOR SANCTION OF ETP SUBSIDY

M/s _____ of (location of the unit) _____ have been disbursed as on this date amount under the loan amounting to INR _____ sanctioned under letter No. _____ Dated: _____ as shown below:

a.	Term loan sanctioned	Date:	Amount in INR
b.	Loan amount disbursed on (Mention all dates)	Date:	Amount in INR
i.			
ii.			

iii.			
Total amount disbursed till date			
c.	Value of investment in fixed assets	As per project appraisal (INR)	Actual investment (mention period) (INR)
A	Land (excluding lease hold) :		
i.	For entire unit excluding ETP		
ii.	For ETP only		
B	Building (excluding residential, quarters, guest houses) :		
i.	For entire unit excluding ETP		
ii.	For ETP only		
C	Plant and Machinery :		
i.	For entire unit excluding ETP		
ii.	For ETP only		
D	Other Assets(please specify) :		
i.	For entire unit excluding ETP		
ii.	For ETP only		

Certified that the sanction of financial assistance to M/s_____for the plant at_____has been considered on the basis of the company's assessed requirement of factory buildings and the cost is estimated at INR _____ as per the project report.

We certify that this investment certificate is issued after verifying the bills and vouchers made available by the unit for purposes of release of term loan instalments and based on the physical verification of the inspecting officers. Items for which subsidy is not admissible are not included in the investment certified above.

We are in possession of relevant bills and vouchers on which this investment certificate is issued and we agree to make available the same as and when required for any verification purpose before the loan liability is discharged.

NOTE:

1. Please specify the period during which investment has been made on land, building and plant & machinery
2. Please furnish the list of machineries with corresponding value
3. The investment made on old machineries/building should be excluded
4. Investment made earlier to the date of eligibility for subsidy should not be included

Place:
Date:

Signature of the Branch Manager
(Name of the Institution)

(Seal)

Chartered Accountant Certificate for Sanction of ETP Subsidy

CHARTERED ACCOUNTANT CERTIFICATE FOR SANCTION OF ETP SUBSIDY

Name of the Chartered Accountant _____

We hereby certify that M/s _____ (name of the industrial enterprise) has acquired the following fixed assets up to _____ for the enterprise at _____ (address) for manufacture of _____ (name of products).

SI	Item of fixed assets	Period during which investment is made (date of payment)		Value in INR
1	Land			
i)	For entire enterprise excluding ETP			
ii)	For ETP only			
2	Factory building (please specify period)			
i)	For entire enterprise excluding ETP			
a.	Amount paid to the building contractors	From	To	
b.	Amount paid for building materials	From	To	
c.	Amount paid for wages and salaries etc. for the building constructions (excluding architect's fees and wages paid for supervision staff etc.)	From	To	
ii)	For ETP only:			
a.	Amount paid to the building	From	To	
b.	Amount paid for building materials	From	To	
c.	Amount paid for wages and salaries etc. for the building constructions (excluding architect's fees and wages paid for supervision staff etc.)	From	To	
3	Electrical installations (excluding KEB deposits)			
i)	For entire enterprise excluding ETP			
ii)	For ETP only			
4	Plant and Machinery			
i)	For entire enterprise excluding ETP			
ii)	For ETP only			
a.	Water pollution equipment:			
b.	Air pollution equipment:			
	Total			

5	Loading, unloading, transportation, erection expenses etc.	
i)	For entire enterprise excluding ETP	
ii)	For ETP only	
6	Misc. fixed assets (Please specify items)	
i)	For entire enterprise excluding ETP	
ii)	For ETP only	

We have checked the books of account of the enterprise, bills, invoices and payment vouchers, etc. and certify that the aforesaid information is verified and certified to be true. We also certify that all the aforesaid items have been duly paid for and no credit is raised against them in the books of the enterprise.

Certified that the investments certified above do not include items for which subsidy is not allowed. Expenditure incurred on following items are not included.

A. LAND

- (i) Value of the open land not utilized for construction, leaving the area proposed for immediate expansion.
- (ii) Expenditure incurred for land scraping for beautification and expenditure on unsuccessful open wells/bore wells.

B. BUILDING

- (i) Expenditure on unproductive construction like guest house, workers/staff quarters, canteens, cycle/scooter stands, garages, etc.
- (ii) Expenditure incurred on beautification of buildings, arches, decorative lights including street lights, furniture and air conditioners, water coolers, refrigerators such of the gadgets not directly connected with production.
- (iii) Expenditure incurred on the purchase of old buildings.

C. PLANTAND MACHINERY

- (i) All consumable materials like tool bits, files, oils, etc.
- (ii) Investments on tools jigs/fixtures, molds etc. which are required repeatedly, should not be allowed for the second time and onwards. While considering the investments made on first purchases, only the required quantify of such items are to be allowed. Extra quantities taken as spares are not to be allowed.
- (iii) Second hand machines purchased shall not be included.

Date :

Place :

CHARTERED ACCOUNTANT
Name and address and Code No.
(SEAL)

**Chartered
Certificate**

**Engineer / Architect
for Sanction of ETP Subsidy**

**CHARTERED ENGINEERS/ARCHITECT'S
CERTIFICATE FOR
SANCTION OF ETP
SUBSIDY**

I, hereby certify that as against the estimated cost of INR _____ (Rupees) _____ of the building and civil works for M/s _____ for their proposed project at Plot No. _____ the unit has so far completed the civil works as under.

01	Value of completed civil works as per estimates	INR
i.	For entire unit excluding ETP	
ii.	For ETP only	
02	Amount certified for payment to the building contractors (civil and structural)	INR
i.	For entire unit excluding ETP	
ii.	For ETP only	
03	Retention Money (for civil contractor)	INR
i.	For entire unit excluding ETP	
ii.	For ETP only	
04	Value of materials utilized for the completed portion of the building	INR
i.	For entire unit excluding ETP	
ii.	For ETP only	

The value of completed building certified above does not involve the area built for guest house and residential building in the factory site.

It is further certified that built area of the building is absolutely essential for the manufacturing/processing activity of the industry. A detailed estimate sheet is appended to this certificate.

Place:
Date:

Seal and Signature
Full address